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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ralf Hofmann, Torsten Schulz,

Assignee: Sun Microsystems, Inc.

Title: METHOD AND SYSTEM FOR REMOTE CONTROL AND INTERACTION WITH A RUN TIME ENVIRONMENT COMPONENT

Serial No.: 09/759,786   Filed: January 12, 2001

Examiner: Unknown   Group Art Unit: 2151

Docket No.: P-4596

Monterey, CA
July 19, 2001

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each is enclosed) to the attention of the Examiner.

U.S. PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME
1)	5,481,721	01/02/1996	Serlet
2)	5,724,588	03/03/1998	Hill
3)	5,727,159	03/10/1998	Kikinis
4)	5,999,988	01/22/1998	Pelegri-Llopart

FOREIGN DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY
1)	EP 0766172A1	04/02/1997	EPO

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2)	WO 98/02810	01/22/1998	PCT
3)	WO 98/02814	01/22/1998	PCT
4)	WO 98/47270	10/22/1998	PCT

OTHER DOCUMENTS

1)	Java™ 2 Platform Enterprise Edition Specification, Version 1.2, Final Release, Sun Microsystems, Inc., Palo Alto, CA, December 17, 1999.
2)	JavaServer Pages™ Specification, Version 1.1, Sun Microsystems, Inc., Palo Alto, CA, November 30, 1999.
3)	Java™ Servlet Specification, Version 2.2, Final Release Sun Microsystems, Inc., Palo Alto CA, December 17, 1999.
4)	Ford and O'Higgins, "Public-Key Cryptography and Open Systems Interconnection," IEEE Communications Magazine, Vol. 30, No. 7, pp. 30-35 (July, 1992)
5)	Hild and Robinson, "Mobilizing Applications," IEEE Personal Communications, Vol. 4, No. 5, pp. 26-34 (October, 1997).
6)	Bickmore et al., "Web Page Filtering and Re-Authoring for Mobile Users," Computer Journal, Oxford University Press for British Computing Society, Vol. 42, No. 6, pp-534-546, 1999.
7)	Evans and Rogers, "Using Java Applets and Corba for Multi-User Distributed Applications," IEEE Internet Computing, Vol. 1, No. 3, pp. 43-55, May-June 1997.
8)	Dömel, "Mobile Telescript Agents and the Web," Digest of Papers of the Computer Society Computer Conference Compcon, Conf. 41, pp. 52-57, February 2,1996.
9)	Cunningham, "Architecture for Location Independent CORBA Environments," MSc Degree Dissertation, University of Dublin, Dublin Ireland, pp. 1-104, Sept. 1998.
10)	Eberhardt et al., "Electronic Commerce-A Comparative Study of Web Based Database Access," XVI World Telecom Congress Proceedings, pp 97-104, September 21, 1997.
11)	Gokhale and Schmidt, "Evaluating the Performance of Demultiplexing Strategies for Real-time CORBA," Global Telecommunications Conference, IEEE, pp 1729-1734, November 3, 1997.
12)	Berg, "How Do I Transfer Data Securely?" Dr. Dobb's Journal, pp 119-121, February, 1998.
13)	Koffman and Wolz, "CS1 Using Java Language Features Gently," Proceedings of the 4th Annual SIGSCE/SIGCUE Conference on Innovation and Technology in Computer Science Education, Krakow, Poland, pp. 40-43, June 27, 1999.

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14)	Coddington et al., "Web-Based Access to Distributed High-Performance Geograhic Information Systems for Decision Support," <u>Proceedings of the 32nd Hawaii International Conference on System Sciences</u>, pp. 1-12, January 5, 1999
15)	Cimpu et al., "Dynamic Managed Objects for Network Management," <u>Proceedings of the 1999 IEEE Canadian Conference on Electrical and Computer Engineering</u>, pp. 156-161, May 9-12, 1999.

A PTO form 1449 (5 pages) listing these documents is enclosed.

Also, enclosed as Exhibit A (4 pages) is a copy of European Search Report for the related foreign application upon which the instant U.S. application claims priority.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

2. a representation that a search has been made, other than as described above; or

3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

If the U.S.P.T.O. issued a first office action prior to the mailing date of this paper, the Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

Respectfully submitted,

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